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Self-Aggregation of Synthetic Zinc Chlorins Possessing "Inverse" Keto and Hydroxyl Groups

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Abstract: Zinc 3-acetyl- 13^{1} -hydroxychlorin Zn-4 was efficiently prepared as a model for naturally occurring bacteriochlorophyll-*d* possessing 3^{1} -hydroxyl and 13-keto groups. Synthetic model Zn-4 self-aggregates to form oligomers in non-polar organic solvents as well as the isomeric zinc 3^{1} -hydroxy- 13^{1} -oxochlorin Zn-1. Both the *in-vitro* aggregates are similar with the *in-vivo* aggregates of bacteriochlorophyll-*d* in the main light-harvesting antennae of photosynthetic green bacteria. Copyright © 1996 Elsevier Science Ltd

Bacteriochlorophyll(=BChl)s-c and d self-aggregate *in-vivo* to form the main light-harvesting antennae (=chlorosomes) of photosynthetic green bacteria.¹ Such supramolecules are unique compared to the normal antenna complexes which are built up by interaction of pigments with peptides.² Supramolecular structures of *in-vivo* chlorosomes have been extensively investigated, which clarified that *in-vitro* self-aggregates of BChlsc/d and the zinc analogues in non-polar organic solvents are good models for the natural chlorosomal aggregates.^{1,3,4} The model experiments showed that central magnesium (or zinc), 3¹-hydroxyl and 13-keto carbonyl groups are necessary for the self-aggregation.^{3h} Here we report on the synthesis of novel zinc chlorins Zn-4 possessing 13¹-hydroxyl and 3-keto carbonyl groups in the molecule and the aggregation of the synthetic Zn-4 in non-polar organic solvents.

One representative of BChl-*d* molecules (see Fig. 1) has R^8 =Et, R^{12} =Me and R=farnesyl and the absolute configuration at the 3¹-position is (*R*).⁵ We have already reported that (3¹-*R*)-isomer of methyl zinc bacteriopheophorbide-*d* (Zn-1; Mg→Zn, R^8 =Et, R^{12} =R=Me in Fig. 1) self-aggregates to form oligomers in non-polar organic solvents.⁶ We tried to prepare zinc chlorins Zn-4 (see Scheme 1) possessing 13¹-hydroxyl and 3-keto carbonyl groups where both the groups are situated at the symmetrical positions compared with normal BChl-*d*. First, selective oxidation of 3¹,13¹-diol 2 (see Scheme 1) was checked for preparation of desired 13¹-hydroxy-3-acetyl-chlorin 4. Most oxidants including KMnO₄⁷ gave mainly decomposition products, but oxidation by Pr₄N(RuO₄)–*N*-methylmorpholine *N*-oxide⁸ was useful for transformation of hydroxyl to keto group without undesired decomposition. During the mild oxidation, the 13¹-hydroxyl group was oxidized to keto group more rapidly than 3¹-OH and gave a mixture of 4 compounds 1–4 which were separated to afford the major 13-ketone 1 and a small



3¹-configuration=*R*, *S* R⁸=Et, ⁿPr, ^IBu, ^{neo}Pn R¹²=Me, Et R=a long chain

Fig. 1. Bacteriochlorophyll(=BChl)-d



Scheme 1. Reagents and conditions: (reactions at room temparature unless otherwise noted): i) HBr / AcOH (55 °C), CH_2N_2 / Et_2O ; ii) NaBH₄ / MeOH– CH_2Cl_2 ; iii) Pr_4NRuO_4 –Me(O)N(CH_2CH_2)₂O / CH_2Cl_2 ; iv) $CH(OCH_3)_3$ / CH_2Cl_2 , HCl / MeOH; v) 5% aq. HCl; vi) H₂ / Pd–C / CH_3COCH_3 ; vii) NaBH₄–TFA / CH_2Cl_2 .

amount of 3-acetyl derivative 4 as mono-keto products $(1 : 4 \approx 3 : 1)$. Then, selective reduction of 3,13diketone 3 was checked by several boron reagents including NaBH₄ and rapid reduction of the 3-keto group afforded predominantly 3¹-hydroxyl compound 1 with a trace of the 13¹-hydroxyl isomer 4. These results indicate that the 3¹-position is more reactive than 13¹-position probably because the 13-keto group is more conjugated with the chlorin π -chromophore and more stable than the 3-keto group. Finally, protection of reactive 3-acetyl group⁹ of 3 and successive reduction of the 13-keto group gave nearly quantitatively the desired 13¹-hydroxy-3-acetylchlorin 4 after removal of the protection group as shown in Scheme 1. Zinc metallation of 4 was carried out by the reported procedures¹⁰ and the diastereomeric isomers (13¹-*R/S*)-Zn-4 produced were easily separated by a single HPLC run.¹¹ The 13¹-configuration was determined by ¹H NMR techniques (COSY and NOESY). The ratio of (13¹-*R*)- and (13¹-*S*)-isomers was 1.4 : 1, indicating that the 13-keto group was reduced slightly stereoselectively (17% d.e.) mainly due to the steric effect of the propionate substituent on the neighboring 17-position. Other chlorin compounds 5–8 without hydroxyl or keto groups were also synthesized (see Scheme 1).

All model compounds were monomeric in a diluted dichloromethane solution (ca. 10^{-5} mol dm⁻³) and the solutions showed sharp bands in the visible absorption spectra. In non-polar organic solvents (e.g., hexane or cyclohexane with a small amount of dichloromethane or tetrahydrofuran), zinc 3^1 -hydroxy-13-oxochlorins including Zn-1 were oligomeric and the visible bands were red-shifted and broadened. $1^{a,3b,e,h,6}$ Especially, the red shift of the Qy peak clearly shows aggregation of these type compounds.^{3h} Table 1 summarized the wavelengths of Qy peaks of synthetic chlorins in solutions, indicating that (13^1-S) -Zn-4 self-aggregated to form oligomers as well as (3^1-R) -Zn-1.¹² Compounds which lack one of central zinc (as in 1 and 4), hydroxyl (as in Zn-5 and Zn-7) and keto groups (as in Zn-6 and Zn-8) hardly aggregated to be almost monomeric species in non-polar solvents. Therefore, zinc chlorins (as in Zn-1 and Zn-4) possessing hydroxyl and keto groups on the Qy axis (N21–N23 axis) self-aggregate to form oligomers as does BChl-*d*, and the positions of the two groups are not important for self-aggregation.¹³

| | М | R ₁ R ₂ | R3 R4 | λ _{max} / nm | |
|-----------------------------------|----------------|-------------------------------|-------|---------------------------------|---|
| | | | | CH ₂ Cl ₂ | 1%(v/v)CH ₂ Cl ₂ −hexane |
| (3 ¹ - <i>R</i>)-Zn-1 | Zn | он н | =O | 648 | 705 |
| (3 ¹ - <i>R</i>)-1 | H_2 | OH H | =O | 659 | 659 |
| Zn-5 | Zn | н н | =0 | 644 | 640 |
| (3 ¹ - <i>R</i>)-Zn-6 | Zn | он н | нн | 615 | 615 ^a |
| (13 ¹ -S)-Zn-4 | Zn | =O | он н | 644 | 709 |
| (13 ¹ -S)-4 | H ₂ | =O | ОН Н | 664 | 664 |
| Zn-7 | Zn | =0 | н н | 638 | 636 |
| (13 ¹ -S)-Zn-8 | Zn | нн | OH H | 618 | 618 |
| BChl-d ^b | Mg | он н | =0 | 658 | 714 ^c |

Table 1. Q_y maxima of model compounds and BChl-d (ca. 10⁻⁵ mol dm⁻³)

^a A small shoulder appeared on the red side of Q_y band around 630 nm. ^b Me/Et =20/1 at the 12-position and farnesyl ester. ^c In natural chlorosomes, see ref. 5.

COOMe

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- Under the conditions of Cosmosil 5C₁₈-AR, 4.6x150 mm, Nacalai Tesque, CH₃OH:H₂O=8:1, 1.0 ml/min, (13¹-S)-Zn-4 and (13¹-R)-Zn-4 were readily separated (R_s = 3.0). The retention times were 3.5 and 4.2 min, respectively. All new compounds were characterized by ¹H-NMR, VIS, IR and/or MS spectra. Synthetic procedures will be reported elsewhere.
- 12. In-vitro aggregates of $(3^1$ -S)-Zn-1 and $(13^1$ -R)-Zn-4 had also red-shifted Qy bands of $\lambda_{max} = 648 \rightarrow 697$ and $644 \rightarrow 673$, 710 (sh) nm, respectively. Such diastereoselective controls were reported, see ref. 6 and 13.
- 13. Very recently, Jesorka *et al.* reported that zinc 3-formyl-13¹-hydroxychlorins self-aggregated *in-vitro*; Jesorka, A.; Balaban, T. S.; Holzwarth, A. R.; Schaffner, K. Angew. Chem. **1996**, in press.

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